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# Control of sludge height in a secondary settler using fuzzy algorithms

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## Abstract

During the last years, the efficiency of pollution removal and sludge treatment processes are major concerns because of the more restrictive legislation related to nutrient discharge. A key point in the field of activated sludge wastewater treatment plants (WWTPs) is the secondary settler efficiency. This paper presents a benchmark study to control, using fuzzy algorithms, the sludge height in a secondary settler. The presented control strategy is based on simple on-line data (influent and recycle flows) and daily analytical values of the Sludge Volume Index (SVI) allowing the fuzzy algorithm to reduce sludge height variations and thus to increase the settling process efficiency. The developed controller has been applied to the Cassà de la Selva activated sludge WWTP (Spain).

*Keywords* : Pollution removal process monitoring and control, Wastewater treatment plant, Secondary settler efficiency, Sludge height, Fuzzy logic.

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## 1. Introduction

Among the industrial techniques used in the field of wastewater treatment, the biological way is indeed the most popular. Particularly, processes based on activated sludge technology (DaMotta et al., 2000) offer a very good solution for pollution removal in urban wastewater. Considering the complexity of the physical, chemical and biological phenomena involved into treatment units, process performances highly depend on environmental and operational conditions. If those conditions are not optimised or controlled, abnormal deviations can appear and affect effluent quality. To avoid such situations, control and supervision methods are required to follow processes state and evolution. Nevertheless, because of the processes high complexity, on-line methodologies based on state measurements are still under development.

The first works on bioprocess control and monitoring were based on classical techniques. However, because of the systems non-linear and time-varying

characteristic, applications of such strategies are limited around a defined set point. Due to this situation, a considerable attention is focused on artificial intelligence modern techniques. The lack of reliable on-line sensors supported the development of control methods based on fuzzy algorithms. In fact, fuzzy reasoning techniques are means for human-friendly computerised devices (Dubois et al., 1996). They provide a symbolic generalization of numerical data using fuzzy sets and allow a linguistic interpretability (Zadeh, 1996). One of the critical point affecting classical biological reactors is the secondary settler efficiency, where the mixed liquor from the biological reactor is settled in order to obtain a clarified effluent prior to final discharge. Parameters involved in the settling phase and highly affecting the pollution removal process efficiency have been studied for a long time (Ekama et al., 1997). In some cases, the microorganisms concentration or nature can lead to a deficient settling or to a bulking phenomenon (Jenkins et al.,

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2003). Thus, a permanent follow-up of some physical and biological parameters influencing the sludge quality is needed. In general, this requires a control action to keep the process operating conditions in their optimum range, i.e. an operation taking into account the dynamical evolution of the system. Actions to apply could vary from precise chlorination to manual removal of superficial foam, including some adjustments related to recycling flows (Wanner, 1994).

In this paper, the ability of fuzzy logic to integrate human knowledge is exploited in order to maintain the secondary settler under stable conditions. The proposed approach is based on a strategy allowing to control the settled solids height (i.e. sludge blanket) in the secondary settler using simple combinations of on-line and off-line data. In spite of the existence of some commercial sensors able to on-line measure the sludge blanket, their implementation in real WWTPs is still occasional. The sludge blanket control is not widely spread. Thus, this paper presents an easy methodology to control the sludge blanket by measuring simple on-line (influent flow) and off-line (a daily measured Sludge Volumetric Index, SVI) parameters and manipulating the RAS recycle flow. The controller is based on simple fuzzy algorithms and has been developed using the *COST simulation benchmark* (Copp, 2001). It has been applied to the Cassà de la Selva activated sludge wastewater treatment plant (Girona, N.E. Spain).

## 2. Methodology

### 2.1. The *COST simulation benchmark*

Bioprocesses are based on bacteria activity and characterized by a slow evolution compared to chemical reactions. Nevertheless they are very easy to destabilize. For this reason, using modern modelling and simulation techniques is essential to develop powerful control strategies (Gomes &

Menawat, 2000) before any application on a real plant. In fact, a serie of simulations is particularly useful when a lot of experiments are needed, because it allows a rapid reproduction of the cells behaviour (a few minutes, instead of many days or months), at low cost (just the computer electric consumption) and without any risk. Virtual experiments are generally used, in a primary step, to determine optimal conditions, for parameter adjusting or soft sensors validation. Among the simulation tools currently used for bioprocesses, GPS-X<sup>®</sup> is known as one of the best modular softwares, including a dynamic and friendly graphical user interface. This software allows the evaluation of control strategies on 40 types of processes and offers the possibility of real time communication with MATLAB<sup>®</sup> and its fuzzy logic toolbox.

The considered virtual process for this study is the *COST 624 Simulation Benchmark* (Copp, 2001), highly accepted by the international community. It concerns a block of models and synthetic data characteristics of an activated sludge process used to eliminate the organic matter and nitrogen from the domestic effluents. The simulation benchmark plant is composed of five reactors in series with a 10-layer secondary settling tank. Figure 1 shows a schematic representation of the layout. The total biological volume is 5999 m<sup>3</sup> (1000 m<sup>3</sup> for tanks 1 and 2, 1333 m<sup>3</sup> for tanks 3, 4 and 5). Tanks 1 and 2 are unaerated but fully mixed when tanks 3, 4 and 5 are aerated using a maximum  $K_{La}$  (oxygen transfer coefficient) of 10 hr<sup>-1</sup> and a dissolved oxygen saturation of 8 gO<sub>2</sub>.m<sup>-3</sup>.

The biological reactors are parameterized using the *IAWQ<sup>2</sup> Activated Sludge Model 1* (ASM1) (Henze et al., 1987). The settling model used is the double-exponential settling velocity function of Takacs (Takacs et al., 1991). It is based on the solids flux concept and is applicable to both hindered and flocculent settling conditions.

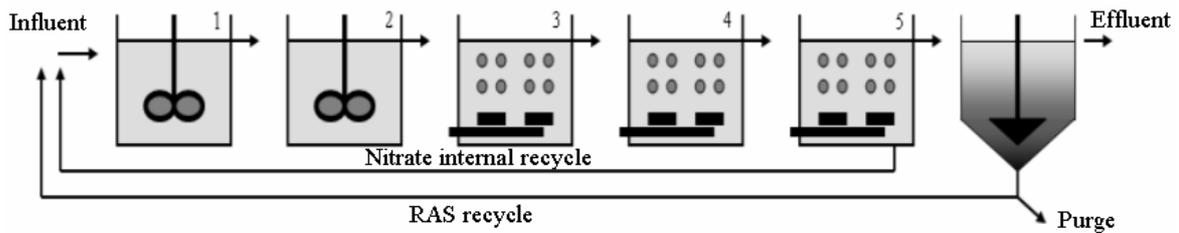


Fig.1. Schematic representation of the *simulation benchmark* configuration showing tanks 1 & 2 mixed and unaerated, tanks 3, 4 & 5 aerated, a settler and two internal recycles.

The settler is a non-reactive one with a total volume of  $6000 \text{ m}^3$  (area of  $1500 \text{ m}^2$  and depth of 4 m) subdivided into ten layers and taking into account the vertical transfers between layers.

The layout presents two internal recycles : the nitrate internal recycle from the 5<sup>th</sup> to the 1<sup>st</sup> tank at a default flow rate of  $55338 \text{ m}^3/\text{d}$  and the RAS recycle from the underflow of the secondary settler to the front end of the plant at a default flow rate of  $18446 \text{ m}^3/\text{d}$  (as there is no biological reaction in the settler, the oxygen concentration in the recycle is the same as in the 5<sup>th</sup> tank reactor).

It has already been stated that the disturbances used to test a particular control strategy play a critical evaluation role. Because of the multifaceted nature of activated sludge, a particular control strategy may react well to a disturbance and not well to another. Thus, to carry out a complete and unbiased evaluation, it is necessary to define a series of disturbances and to subject each control strategy to all the disturbances. Three influent disturbances, representative of different weather conditions, have been defined. Each scenario contains 28 days of influent data with intervals of 15 minutes.

These scenarios are representative of three disturbances : *dry weather*, a *storm event* and a *rain event*. The *dry weather* scenario depicts what is considered to be normal diurnal variations in flow and COD load. The *storm event* scenario is a variation of the *dry weather* scenario, incorporating two storm events. The first storm event is of high intensity and short duration and is expected to flush the sewer of particulate material. The second storm event causes only a modest

increase in COD load. The *rain event* scenario is characterized by a long rain event. The influent flow does not reach the level attained during the storm events, but the increased flow is sustained for a much longer period. There is no increase in COD load during this event (Vanhooren & Nguyen, 1996). The rain is a frequent disturbance having an important impact on the WWTP operation, so we used the rain scenario in this work.

## 2.2. Control architecture

In biological wastewater treatment plants, the secondary settler is used for the sludge/effluent separation at the end of the treatment process. The water is then set on its way towards the end of the process and sludge settles at the bottom of the settler. For certain reasons (lack of oxygen, presence of greases, a too old sludge, excessive pollution rate), an increase of bacteria concentration can often be observed. This phenomenon is due to a fast reproduction and a growth of bacteria. It can produce, in some cases, sludge losses or process failures and has an impact on the sludge settling process efficiency. Sludge remaining at the surface is thus poured in the nature, inducing the non respect of increasingly constraining standards for rejects.

The objective of the present work is to develop an on-line control action with the aim of minimizing the variations of the sludge height in the secondary settler of a WWTP. Because of the difficulties of real time measuring the sludge height, the suspended solids concentration (MESS) at the middle of the settler (5<sup>th</sup>

layer) was used as the reference point to control. The suspended solids are mixed with bacteria to form the sludge. The proposed control strategy uses the influent flow ( $Q_{in}$ ) and the sludge volume index (SVI), a daily parameter characterizing the sludge quality, to estimate sludge recycle and removal flows, in order to minimize variations of the suspended solids concentration in the settler. The majority of sludge withdrawn from the settler is reinjected in the biological reactor (underflow rate  $Q_r$ ). In order to keep the reactor suspended solids under a desirable level, or because of nutrient removal objectives, a removal

flow ( $Q_p$ ) is used. To improve the process qualitative knowledge, three simulations (Fig.2) were carried out, in order to evaluate the influence of the influent flow ( $Q_{in}$ ) and SVI on the suspended solid concentration in the settler during 20 days of normal situation. Thus, for default values of  $Q_r$  (18446 m<sup>3</sup>/d),  $Q_p$  (380 m<sup>3</sup>/d) and SVI (150 ml/g), suspended solids and influent flow are well correlated (Fig.2 (a)). For the two following simulations (Fig.2 (b) and Fig.2 (c)), different variations of SVI ranging between 20 and 220 ml/g cause an additive effect on the suspended solids concentration.

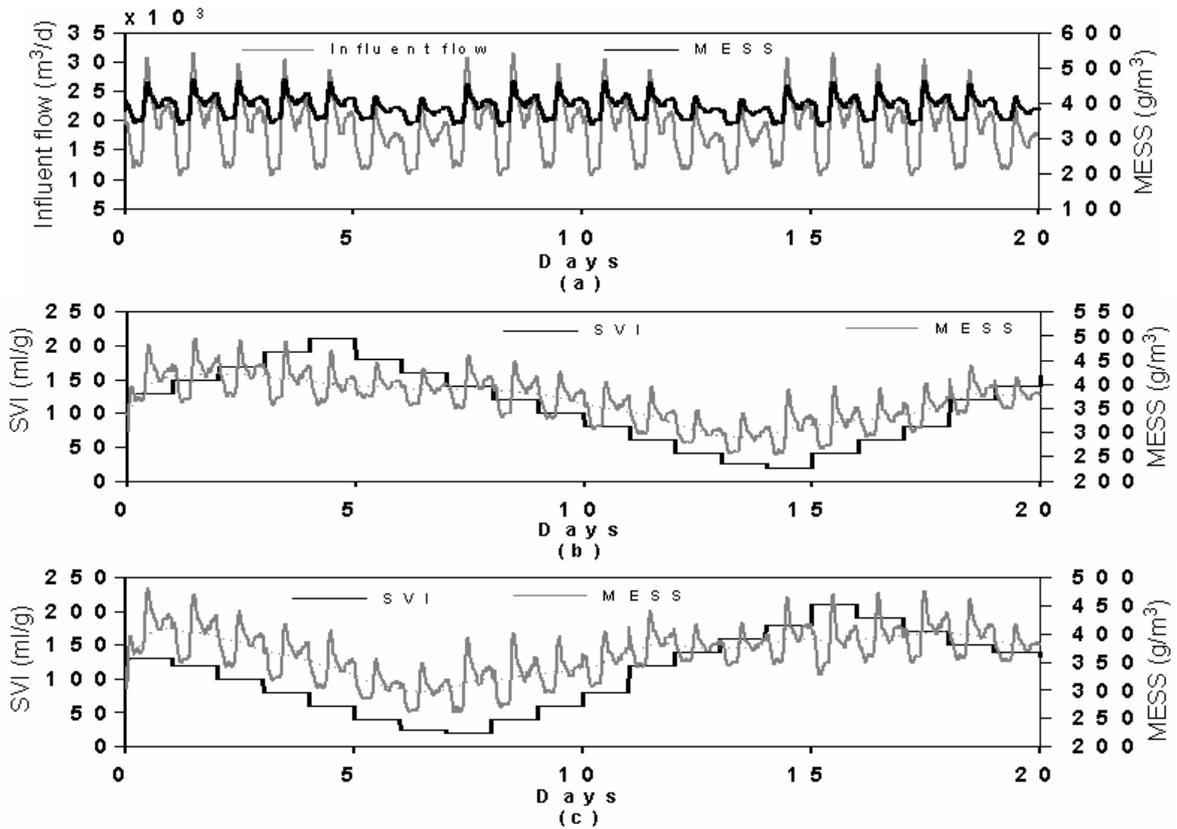


Fig.2. Influence of the different variables used as controller inputs on suspended solids. (a) Influent flow, (b) SVI - First variations (c) SVI - Second variations.

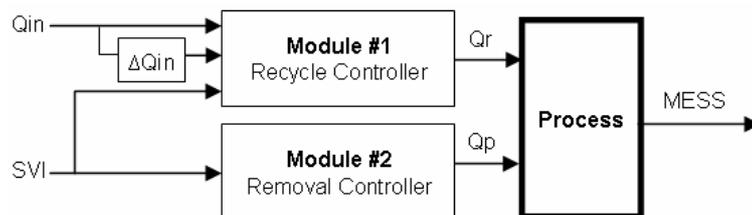


Fig.3. On-line controller architecture with Module #1 (Recycle controller) and Module #2 (Removal controller).

The control strategy is based on the dissociation of the two influences mentioned before, by developing a controller with two modules. As shown on figure 3, the first module estimates  $Q_r$  from measurements of  $Q_{in}$ , its variation  $\Delta Q_{in}$  and SVI.  $\Delta Q_{in}$  is defined as :  $\Delta Q_{in}(t) = Q_{in}(t) - Q_{in}(t-\Delta T)$ , where  $\Delta T = 45$  minutes. The second module uses only SVI to estimate the removal flow  $Q_p$  (purge).

In Module #1, the first input ( $Q_{in}$ ) and the output ( $Q_r$ ) are both subdivided into

five identical fuzzy sets : Very Small (VS), Small (S), Mean (M), Big (B) and Very Big (VB). The second input ( $\Delta Q_{in}$ ) is subdivided into three fuzzy sets : Negative (N), Zero (Z) and Positive (P), whereas the SVI is divided into only two sets : Small (S) and Big (B).

Module #2 is simpler, with three fuzzy sets (Small, Mean and Big) for both SVI and  $Q_p$ . Figures 4 and 5 presents the different fuzzy sets for those two modules. The rules bases are assigned in tables 1 and 2.

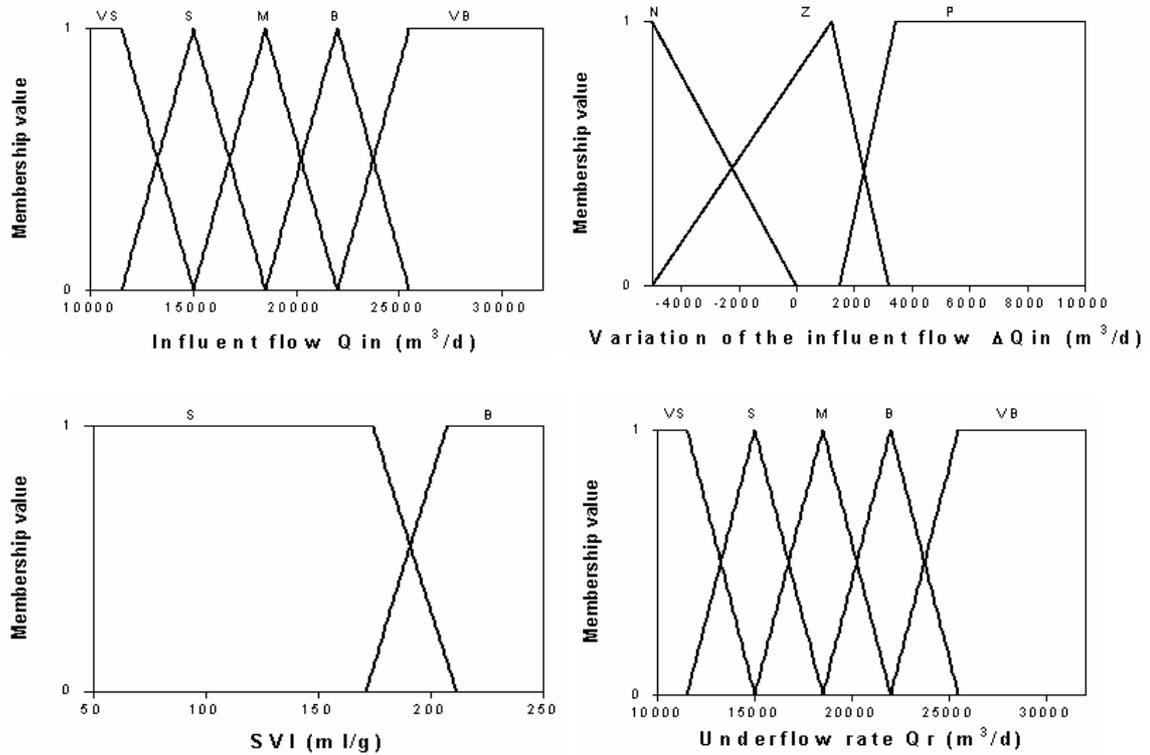


Fig.4. Fuzzy sets of the different parameters (Influent flow  $Q_{in}$ , Variation of the influent flow  $\Delta Q_{in}$ , SVI and Underflow rate  $Q_r$ ) used for  $Q_r$  estimation (Module #1).

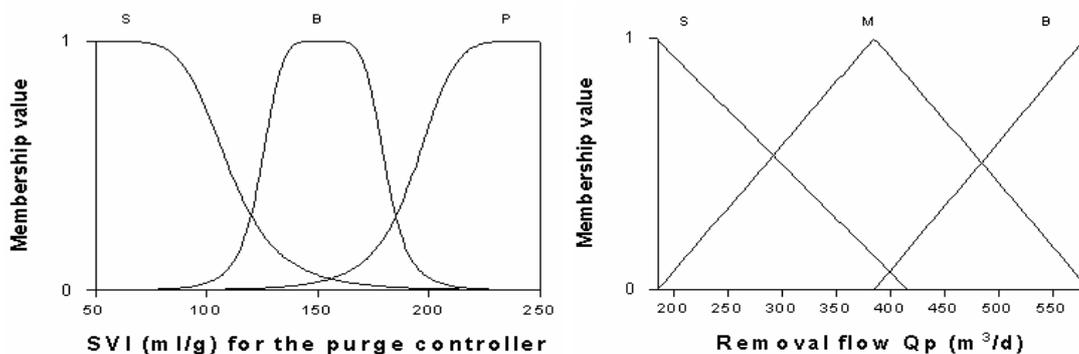


Fig.5. Fuzzy sets of the different parameters (SVI and Removal flow  $Q_p$ ) used for  $Q_p$  estimation (Module #2).

Tab.1. Rules bases used for the estimation of parameter  $Q_r$  (Module #1).

$Q_r$ (SVI is S)		$Q_{in}$				
		VS	S	M	B	VB
$\Delta Q_{in}$	S	VB	M	M	M	VS
	M	B	B	M	S	S
	B	VB	M	M	S	VS

$Q_r$ (SVI is B)		$Q_{in}$				
		VS	S	M	B	VB
$\Delta Q_{in}$	S	VB	B	B	B	S
	M	VB	VB	B	M	M
	B	VB	B	B	M	S

Tab.2. Rules bases used for the estimation of parameter  $Q_p$  (Module #2).

SVI	$Q_p$
S	S
M	M
B	B

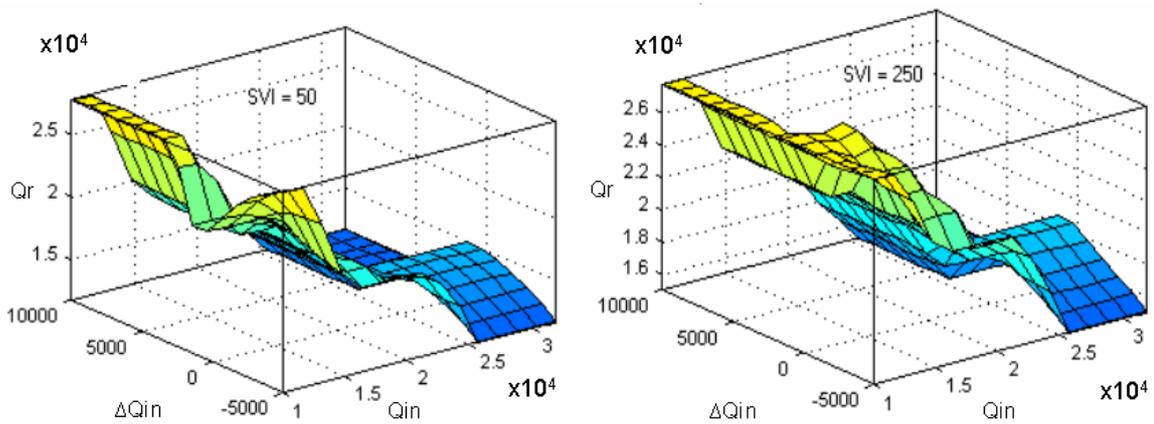


Fig. 6. Variations of  $Q_r$  according to  $Q_{in}$  and  $\Delta Q_{in}$  with a SVI fixed at 50 and 250 ml/g.

The definition of the different fuzzy sets and the rules bases has been guided by the exploitation of the controller properties through control surfaces. For example, figure 6 presents the variations of  $Q_r$  according to  $Q_{in}$  and  $\Delta Q_{in}$ , with a SVI first fixed at 50 ml/g and next at 250 ml/g. The non-linear characteristic of the controller can be noticed, in opposite to classic regulators like P, PI and PID. The controller characteristics can be adjusted while changing the rules bases or the fuzzy sets in order to obtain a desired and particular behaviour. The used rain scenario includes normal (no rain) and rainy days. The presented structure is able to treat normal days. In order to take into account rainy days (characterized by influent flows higher than 32000 m<sup>3</sup>/d) and to treat them, a perturbation detection is carried out before the control action. The detection is obtained by comparison with normal days profiles.

To apply the control action, the influent flow  $Q_{in}$  is decreased in order to bring back  $Q_{in}$  within the range previously defined for the fuzzy sets. The control action is then applied to estimate  $Q_r$ .

### 3. Control results and application to the Cassà-WWTP

#### 3.1. Results with the COST 624 simulation benchmark

This paragraph presents the MESS control results using the *COST 624 simulation benchmark*. Figure 7 shows the obtained results for the first 20 days (i.e. without rain perturbation), for both increasing and decreasing SVI. The control action is clearly efficient and minimizes MESS fluctuations in the secondary settler. Table 3 and 4 respectively presents the minimum, maximum, mean and standard deviation

values of the suspended solids concentration for an increasing and a decreasing SVI. In case of rain (Figure 8) the control action also avoids high MESS variations. Globally, the results are

satisfactory and confirm the validity of the MESS real time control using the influent flow and the SVI as controller inputs to estimate the sludge recycle and removal flows.

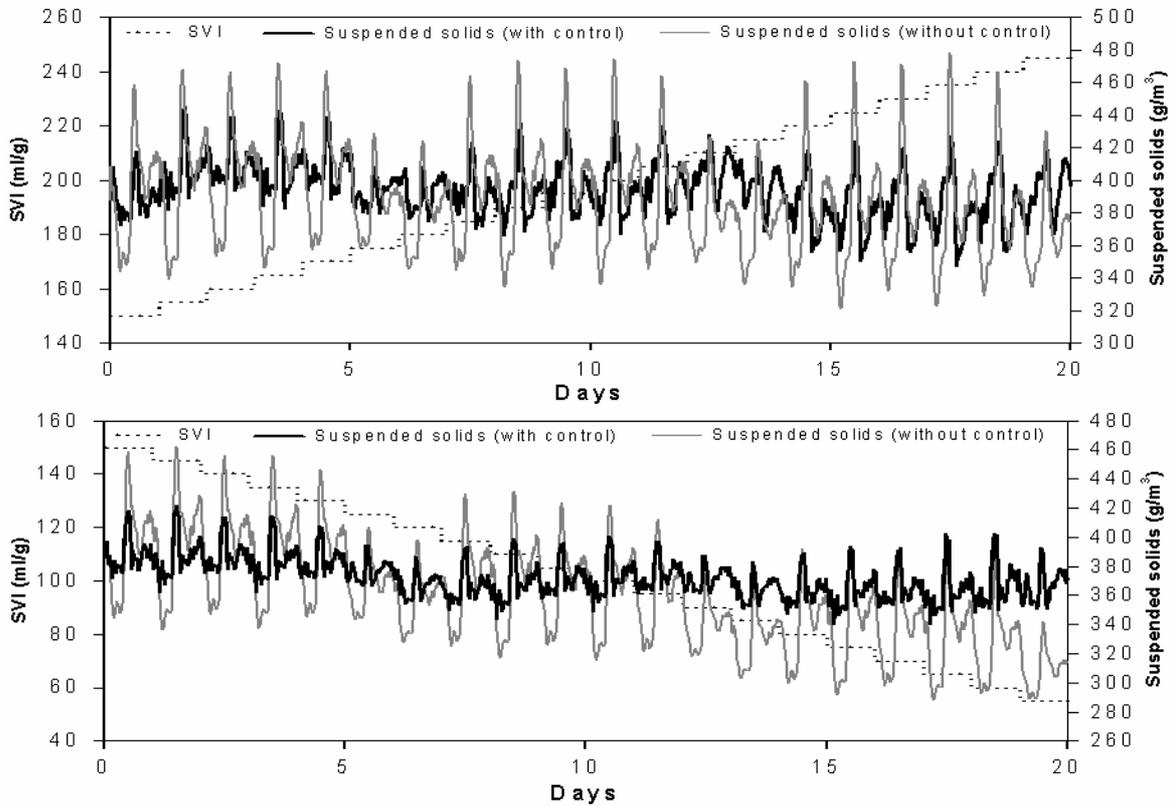


Fig.7. MESS control results for normal days (no rain).

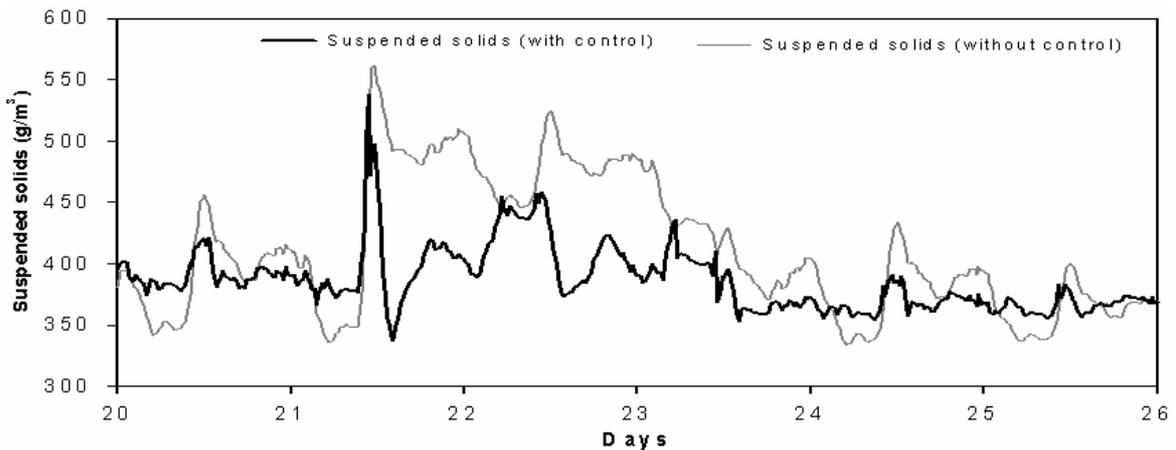


Fig.8. MESS control results for rainy days.

Tab.3. Statistical results (min, mean, max and standard deviation) for an increasing SVI.

	<i>Min</i>	<i>Mean</i>	<i>Max</i>	<i>Standard deviation</i>
<i>MESS without control</i>	321,4	387,6	478,7	31,1
<i>MESS with control</i>	347,6	394,6	445,4	16,7

Tab.4. Statistical results (min, mean, max and standard deviation) for a decreasing SVI.

	<i>Min</i>	<i>Mean</i>	<i>Max</i>	<i>Standard deviation</i>
<i>MESS without control</i>	288,4	359,1	462,6	35,9
<i>MESS with control</i>	340,3	372,6	421,8	13,3

### 3.2. Application to the Cassà de la Selva-WWTP

After developing and testing the controller using the COST simulation benchmark, it was applied to a real wastewater treatment plant. The considered process is carried out at the Cassà-WWTP (Catalonia, Spain) and is based on the classical activated sludge technology. The biological reactor is aerated using three rotors (surface aerators). The influent flow and the SVI for a period of 20 days are presented on figure 9.

The influent flow daily variations have similar profiles to those obtained with the COST simulation benchmark. An important point is the high variations

related to the SVI. This was mainly caused by the variability of the influent quality. Influent flow values at Cassà-WWTP are ranging between 1252 and 10000 m<sup>3</sup>/d.

The developed controller has been applied to the Cassà biological process only adapting the fuzzy sets range. Table 4 presents the new fuzzy sets range adapted to the Cassà process. Let's note that it is the only change needed to obtain satisfactory results.

Table 5 and figure 10 present the results obtained with the direct application of the controller to the Cassà-WWTP. Without control,  $Q_r$  is fixed at 3195 m<sup>3</sup>/d (mean of  $Q_{in}$  in normal situation) and  $Q_p$  at 105 m<sup>3</sup>/d (default operating value for the plant).

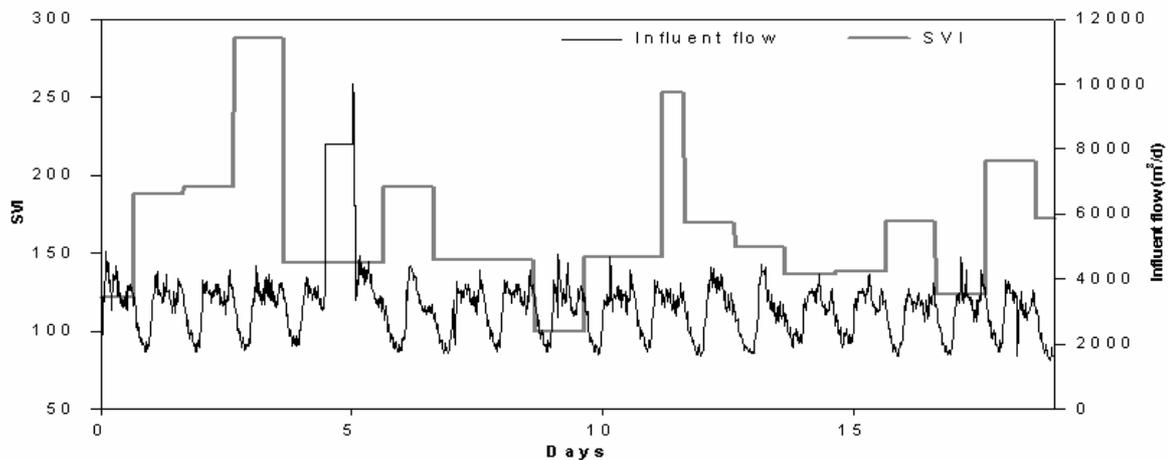


Fig.9. Evolution of parameters  $Q_{in}$  and SVI at the Cassà-WWTP.

Tab.4. Fuzzy sets range using COST 624 simulation benchmark and Cassà-WWTP data.

		<i>Q<sub>in</sub></i>	<i>SVI</i>	$\Delta Q_{in}$	<i>Q<sub>r</sub></i>	<i>Q<sub>p</sub></i>
<i>COST 624 simulation benchmark</i>	<i>Min</i>	10000	50	-5000	10000	180
	<i>Max</i>	32000	250	10000	32000	580
<i>Cassà-WWTP</i>	<i>Min</i>	1500	100	-1000	1500	0
	<i>Max</i>	5000	350	2000	5000	160

Tab.5. Statistical results (min, mean, max and standard deviation) for the Cassà-WWTP.

	<i>Min</i>	<i>Mean</i>	<i>Max</i>	<i>Standard deviation</i>
<i>MESS without control</i>	88,8	222,9	502	119,3
<i>MESS with control</i>	74,3	175,3	378,4	63,2

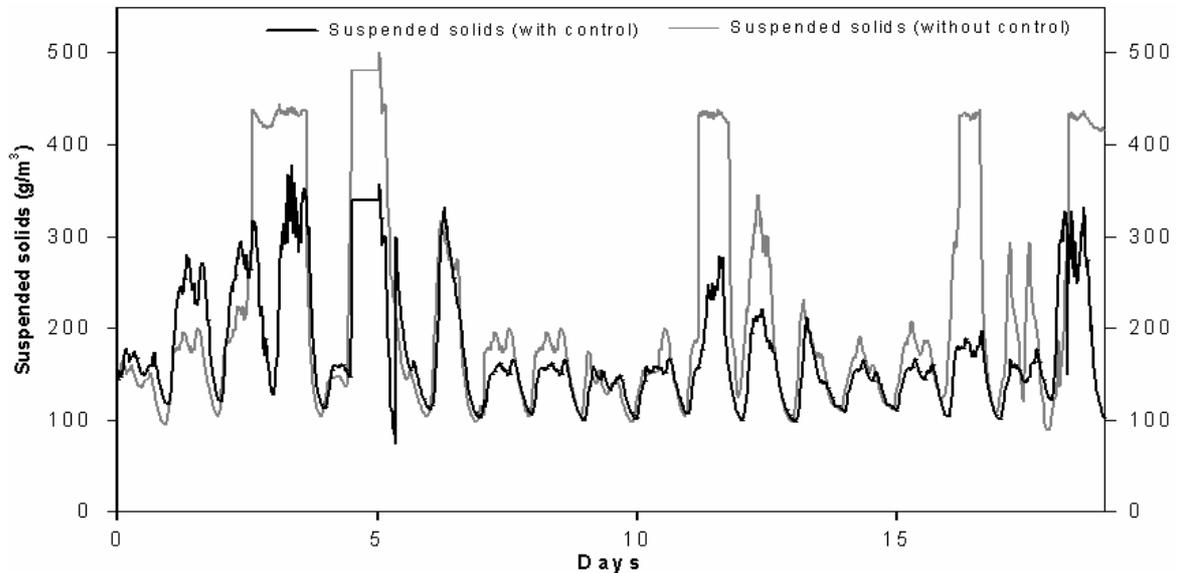


Fig.10. MESS control results for the Cassà-WWTP

Without control, a high variation of the suspended solids concentration in the settler can be observed. Its standard deviation is equal to 119,3 (Tab.5). Globally, the obtained results are satisfactory. They confirm the validity of the controller structure and that  $Q_{in}$  and SVI have an important influence on the suspended solids concentration in a secondary settler (Vanderhasselt & Vanrolleghem, 2000 ; Diehl & Jeppsson, 1998).

For high values of SVI, a saturation phenomenon appears. However, it disappears when the controller is applied. The controller presents very good performances for high values of the suspended solids concentration : the maximum decreases from about 500 (without control) to 378,4  $g/m^3$  (with control) and the standard deviation decreases from 119,3 to 63,2. However, for low values of the suspended solids concentration, the controller presents some insufficiencies. It concerns situations characterized by high values of  $Q_r$  and will focus our attention for

future works in order to improve the obtained results.

#### 4. Conclusion

This paper is focused on the real time control of the suspended solids concentration in a secondary settler. The settling phase efficiency has an important impact on a pollution removal biologic process.

The influent flow and the Sludge Volume Index have been used as controller inputs to estimate the sludge recycle and removal flows. The use of fuzzy logic as control tool made it possible to combine two kinds of knowledge. The process qualitative knowledge obtained from experts and operators working on the plant was first used to define the basic control strategy. This knowledge was completed over simulations, connecting the GPS-X<sup>®</sup> and MATLAB<sup>®</sup> softwares. Although the controller structure was developed on simulations, reasoning techniques were used always taking into account real-WWTP working conditions.

The controlled variable (the suspended solids concentration) is not on-line measurable but the algorithms were developed for an indirect control (without feedback) and an implementation on a real site will not create a problem. The results obtained using the *COST 624 simulation benchmark* showed the fuzzy controller efficiency for both increasing and decreasing SVI values. Particularly, the rain situation was successfully treated. The application of the controller to the Cassà-WWTP data also allowed an important reduction of suspended solids concentration fluctuations.

The most immediate perspectives are the implementation of the developed fuzzy controller on the Cassà-WWTP for eventual parameters adjustment and validation. A larger scale study on multi-agent controllers made of a set of fuzzy controllers, fault detection, diagnostic and supervision will also be considered.

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